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## Ground-truthing social vulnerability indices of Alaska fishing communities

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#### ABSTRACT

Community vulnerability is increasingly evaluated through quantitative social indices, typically developed using secondary data sources rather than primary data collection. It is necessary to understand the validity of these indices if they will be used to inform policy and decision making. This paper presents a ground-truthing effort to validate quantitative indices that characterize the well-being of Alaska fishing communities. We utilized ethnographic data collected from 13 representative communities and a capital assets framework to ground-truth the indices, in which qualitative ranks of vulnerability were compared against quantitative indices. The majority (73.8%) of ranks were in complete or moderate agreement and the results indicate that most of the indices are representative of community vulnerability; yet some variables utilized to create the indices could be modified to better reflect realities in Alaska. Indices of commercial fishery engagement and reliance appeared to be more reliable than socio-economic indicators, particularly for smaller fishing communities. We also confirmed that the indices do not capture political, or ecological factors that affect levels of community vulnerability. We conclude that quantitative indices of community vulnerability are useful rapid assessment tools; however, they should be validated, and complemented with ethnographic data prior to their implementation as policy making and management tools.

#### **KEYWORDS**

Alaska fishing communities; ground-truthing; social indices; social vulnerability; well-being

#### Introduction

In recent years, researchers have increasingly emphasized the importance of indicators for measuring and monitoring socio-ecological change (Hicks et al. 2016). Quantitative indices serve as proxies for social phenomena by condensing complex information into measurable outcomes that are informative to policy-makers and resource managers (Boyd and Charles 2006; Cutter, Boruff, and Shirley 2003; Hicks et al. 2016; Leslie et al. 2015). Secondary datasets, such as U.S. Census data, have been used for developing such indicators for fishing communities to minimize costs associated with collecting

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360 👄 A. LAVOIE ET AL.

ethnographic data (Blount et al. 2015; Colburn et al. 2016; Himes-Cornell and Kasperski 2016; Jacob et al. 2010; Pollnac et al. 2015; Sepez et al. 2006). However, when using secondary data to develop indicators, the question of validity arises; do the indicators represent on-the ground realities and processes? Caveats of using secondary data, such as U.S. Census data, include inherent discrepancies of the data from inconsistent documentation and unreliable reporting. Indicators based on these data also may not reflect on-the-ground complex social phenomena, such as power relations related to resource access and use (Lyons, Carothers, and Reedy 2016). Quantitative indices typically developed using statistical methods that aggregate data into generalized factors are interpreted as indicators of social vulnerability and well-being, but they may or may not accurately reflect complex socio-economic processes that affect vulnerability (Eakin and Luers 2006; Jacob et al. 2010; Oulahen et al. 2015).

To address these caveats, researchers have developed various methodologies for testing, or "ground-truthing," the validity of social indices (Blount et al. 2015; Himes-Cornell et al. 2016; Jacob et al. 2013; Oulahen et al. 2015; Pollnac et al. 2015; Smith et al. 2011). The use of multiple methodologies to test validity of data, known as triangulation or ground-truthing, is necessary to confirm findings and fully evaluate any indicators that may be used to inform policy. Agreement between multiple, independent viewpoints, or sources, should ensure validity and reliability (Bitsch 2005; Jick 1979). Ethnography is a common method applied in ground-truthing and triangulation processes focused on community-level assessments because it is often based on grounded knowledge, where local processes and phenomenon are described by participants (Bitsch 2005; Hay 2010). Agreement between ethnographic assessments and quantitative indicators can improve validity and reliability, whereas disagreement suggests that the indicators may not properly represent the community conditions, or the methods applied need evaluation. In this sense, ethnographic research is fundamental to teasing out complex contextual nuances and historical processes that might be otherwise overlooked, or may not be accounted for in broadly collected objective quantitative data. This is particularly important for socio-economic fisheries research given the diverse contexts of small and large-scale fisheries and the importance of fisheries to human well-being in the United States and around the globe.

The National Oceanic and Atmospheric Administration's National Marine Fisheries Service (NOAA Fisheries) has recently developed social and fisheries engagement indices to evaluate fishing community vulnerability at both national and regional scales (Himes-Cornell and Kasperski 2015, 2016; Himes-Cornell et al. 2016; Jacob et al. 2013; Jepson and Colburn 2013). The indices were developed to inform fisheries policy and management implemented under the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) and to better identify impacts to fishery-dependent communities. Specifically, principal component factor analysis (PCFA) was utilized to calculate the social indices in order to develop community typologies based on fishery engagement (i.e., landings and revenue), and socio-economic variables drawing from U.S. Census data (Himes-Cornell and Kasperski 2015, 2016; Himes-Cornell et al. 2016; Jacob et al. 2013; Jepson and Colburn 2013). The indices can inform management in making decisions that avoid or reduce impacts to highly vulnerable, and or fisherydependent communities. However, the indices are derived from secondary data raising the question of how well they represent fishing community well-being and vulnerability. As a result steps have been taken to validate, or ground-truth the social indices at NOAA Fisheries, in addition to researchers in other areas applying similar methods.

Existing research that validates community social indices through ground-truthing is novel, but limited. Studies have validated typologies of communities that were developed based on community vulnerability scores (Pollnac et al. 2015; Smith et al. 2011), validated coarse indicators of fishing dependence by comparing quantitative and subjective ranks of communities (Jacob et al. 2010), or reduced contextual ethnographic data of community vulnerability for quantitative analysis (Blount et al. 2015). Himes-Cornell et al. (2016) present a rapid appraisal methodology designed to better understand the appropriateness of indicators (input variables) used to create well-being indices for Alaska. The authors measured reliability of the indicators used to develop the quantitative indices, and construct validity of levels of community vulnerability based on the quantitative indices. The authors concluded that the indicators were generally reliable; however, the quantitative vulnerability indices derived from these indicators for the communities were not fully consistent with researcher subjective rankings of communities based on field-work. The findings reveal that quantitative indices and their validation require more comprehensive site-specific context.

In this paper, we build upon these previous efforts by focusing on validating revised vulnerability indices (Himes-Cornell and Kasperski 2016) through qualitative analysis of contextual ethnographic data. Our work contributes to scholarship advancing the use of social indicators for assessing fishing community vulnerability and well-being and serves three purposes, that is, to: (1) qualitatively ground-truth quantitative indices of Alaska fishing community vulnerability developed from secondary data; (2) present factors which affect levels of vulnerability of Alaska fishing communities drawing from ethnographic data; and (3) advance a community vulnerability framework that can improve community vulnerability indicator selection and ground-truthing projects. We demonstrate that quantitative social indices are useful rapid assessment tools for fishery management; however, to the extent possible indices should be validated and complemented by ethnographic data to increase their accuracy as policymaking and management tools.

#### Community vulnerability

The concept of community vulnerability relative to environmental change has largely evolved from hazards and disaster research concerned with social and ecological susceptibility to harm and risk management (Adger 2006; Blaikie et al. 1994; Cutter, Boruff, and Shirley 2003). The concept has evolved in a variety of disciplines that have slightly different conceptualizations of vulnerability depending upon the context in which it is applied (Eakin and Luers 2006). Given the various definitions and applications of community vulnerability, researchers have argued that vulnerability is a complex theoretical concept and is difficult to conceptualize and measure in any one specific way (Adger 2006; Alwang, Siegel, and Steen 2001; Eakin and Luers 2006; McLaughlin and Dietz 2008; Turner et al. 2003). Nevertheless, the majority of researchers have drawn from the definition originating in hazards research, defined as "the degree to which ... systems are susceptible to, or unable to cope with adverse effects of change" (Schneider et al. 2007). Scholars have

extended the definition to include an individual or system's exposure to risk or change, sensitivity to shocks, and level of adaptive capacity, or resilience, to withstand shocks and change (Adger 2006; Clark et al. 2000; Miller et al. 2010).

Our conceptualization of community vulnerability draws from this background and is situated within the components of Turner et al.'s framework (2003), in which the complex interaction of social, ecological, political, economic, and cultural factors affect wellbeing (Adger 2006; Turner et al. 2003). In this context, well-being is broadly defined here as a community's level of health, prosperity, and happiness (Pollnac et al. 2006), given that community vulnerability cannot be assessed based only on economic terms (Adger 2006).

More specifically, levels of vulnerability and relative well-being can be determined by assessing entitlements or the available stocks of capital an individual, household, or community has that can be mobilized for producing sustainable livelihoods and increasing adaptive capacity (Adger 2006; Allison and Ellis 2001; Bebbington 1999; Eakin and Luers 2006; Rakodi 1999; Scoones 1998, 2009; Turner et al. 2003). While not necessarily exclusive, five capital asset categories have emerged from sustainable livelihoods scholarship: financial, human, social, natural, and physical (DFID 1999). This capital assets framework has been applied in sustainability science and disaster research to assess poverty and resilience (Bebbington 1999; DFID 1999; Mayunga 2007; Scoones 1998); however, it has been under-utilized as a tool for selecting variables to develop indicators of community vulnerability and well-being (Adger 2006; Eakin and Luers 2006). Other frameworks for selecting social indicators tend to be more coarse or over-generalized (Boyd and Charles 2006).

The capital assets framework can be used to assess tangible and intangible (social capital) factors and processes that influence well-being and levels of vulnerability, and can be both place-specific and transferable across contexts (Adger 2006; Eakin and Luers 2006). More significant, in terms of assessing community well-being and vulnerability, is the inclusion of social capital in the framework. Social capital, networks, social norms, leadership, learning, and access to political power are significant factors that affect community well-being and social vulnerability (Cutter, Boruff, and Shirley 2003; Miller et al. 2010). A great deal of scholarship has demonstrated the significance of social capital in fishing communities for fisheries management (Acheson 1988; Gutierrez, Hilborn, and Defeo 2011; Isham 2000; Marín and Berkes 2010; Marín et al. 2012; Sekhar 2007), and for increasing social adaptive capacity (Adger 2010; Bodin and Crona 2008; Gutierrez, Hilborn, and Defeo 2011; Newman and Dale 2005; Olsson, Folke, and Berkes 2004; Plummer and FitzGibbon 2007; Pretty 2003; Sekhar 2007). These social processes, unaccounted for in US Census data, highlight the importance of primary data collection for a holistic assessment of community vulnerability and well-being which includes validating indices developed from secondary data sources.

Himes-Cornell et al. (2016) undertook a first step in ground-truthing quantitative vulnerability indices of Alaska fishing communities by conducting a rapid validation assessment. The aim of this paper is to advance the previous work with contextual analysis, given that the previous effort was unable to effectively capture social or political aspects of community well-being. Therefore, we utilize the capital assets framework to capture socio-cultural and political processes and structure ethnographic data into



Figure 1. Map of communities selected for ground-truthing social indices.

categories of factors that affect community well-being that are related to the quantitative indices. The framework provides a robust and efficient method for structuring ethnographic data into a format for ease of validation.

## Methodology

## Data collection

The Alaska fishing community social vulnerability indices we validated in this groundtruthing exercise are presented in detail in Himes-Cornell and Kasperski (2016). In this previous work, the indices were created via PCFA, which allowed for rapid generation of standardized indices, using input variables from U.S. Census Bureau data and Alaska Department of Fish & Game fishery data (ADF&G). This methodology followed previous standardized methods for developing indices of community vulnerability and wellbeing at the regional and national scales (Cutter, Boruff, and Shirley 2003; Jacob et al. 364 👄 A. LAVOIE ET AL.

Capital	Example Metrics
Financial	Sources of income; level of economic diversity; investment and savings
Human	Population composition; available labor force; quality of education; health; quality of life
Natural	Access to natural resources; quality/health of natural resources; dependence on natural resources
Physical	Infrastructure including housing; water; transportation; access to goods and services
Social	Social cohesion; networks and connectedness; shared culture; rules and norms
Political	Policy that supports or constrains livelihoods and access to natural resources; ability to partici- pate in political process; government leadership that supports or detracts from growth and development.

Table 1. Capital asset categories with example metrics of vulnerability.

Metrics are not exhaustive and are drawn from various sources (Boyd and Charles 2006; Cutter, Boruff, and Shirley 2003; DFID 1999).

2013; Jepson and Colburn 2013), but the input variables were adapted for relevance to Alaska communities. For example, Alaska residents rely upon subsistence fishing and ADF&G collects and manages subsistence fishery harvests.

For Alaska communities, the PCFA resulted in seven social vulnerability indices and seven fisheries participation indices. The calculated community vulnerability index scores were then utilized to run a cluster analysis that allowed selection of thirteen community typologies, with varying scores, in which to conduct ethnographic fieldwork (Figure 1). The resident population of the communities in 2013, the year in which field work was conducted, ranged from 7,251 in Kenai to 57 in the small community of South Naknek. A detailed description of ethnographic data collection, including the number of interviews conducted per community, is available in Himes-Cornell et al. (2016). In summary, semi-structured interviews were conducted between May and September of 2013 in each community, and interviewers used a series of prompting topics that allowed respondents flexibility in answering the questions, which would best represent their communities and not the bias of the researchers. Respondents were broadly asked to characterize their community, describe any current concerns, how the community has changed in recent years, describe community strengths and weaknesses, and discuss the importance of fishing to the community. A total of 286 interviews were completed.

#### Data analysis and ground-truthing

We analyzed the ethnographic interview data using Atlas.ti software, via inductive coding where themes emerged from the content of the data (Saldana 2009). Specifically, we first coded data via descriptive "in vivo" coding in which codes are created based on respondent's statements. Next, through an iterative process, we further refined the data with "analytic codes" based on relevant themes that emerged from the data (Hay 2010) to reflect a cohesive interpretation of community vulnerability and well-being. Interviews were largely analyzed by community; however, there were many overarching themes related to community vulnerability that broadly applied to all communities.

We then utilized a capital assets framework (DFID 1999; Mayunga 2007) (see Table 1) and applied the coding results to the framework by creating a table to summarize the ethnographic data by capital asset category and community as shown in Table 2. The table served as a tool to summarize factors, derived from the ethnographic data, that contribute to, or detract from, community vulnerability. For example, codes such as

Table 2. (more vuln	Summaries of community capita erability).	ıl. A plus sign (+) denotes a facto	r that is positive capital (less vulnerab	ility) and minus sign (–) denotes a	a factor that is negative capital
	Kenai	Soldotna	Seldovia	Port Graham	Kodiak
Financial	<ul> <li>+ Diverse economy</li> <li>+ Markets accessible</li> <li>+ Economic opportunity</li> <li>+ Rapid development</li> <li>+ Wealthy city [from oil boom]</li> <li>- Decline in commercial fisheries</li> <li>- Outsider sport fishing businesses</li> <li>- High cost of living</li> </ul>	<ul> <li>+ Diverse economy</li> <li>+ Access to oil/gas jobs in Kenai</li> <li>+ Seasonal river tourism</li> <li>+ Sales tax revenue</li> <li>- Reduction in visitors and guides</li> <li>- Limited local employment</li> </ul>	<ul> <li>+ Tourism potential</li> <li>- Lack of jobs/ unemployment</li> <li>- Decline in commercial fish eries</li> <li>- Small tax base</li> <li>- Lack of local businesses</li> <li>- High cost of living</li> </ul>	<ul> <li>Lack of jobs/unemployment</li> <li>Decline in commercial fisheries</li> <li>Fishery costs unaffordable</li> <li>Sold permits after oil spill</li> </ul>	<ul> <li>Highly engaged in multiple fisheries</li> <li>Economic development</li> <li>Diverse economy</li> <li>Good market prices</li> <li>Homeported boats</li> <li>Reduced access to fisheries</li> <li>High cost of living</li> </ul>
Human	+ Educational fishery + Available labor - Alcohol and drugs - Large influx of seasonal population; [locals feel overrun]	<ul> <li>+ Health care available</li> <li>- Too many sport fishing guides</li> <li>- Rapid development, but growth has slowed</li> </ul>	<ul> <li>Decreased school enrollment</li> <li>Out-migration</li> <li>Aging population increasingly composed of retirees</li> <li>Limited healthcare</li> </ul>	<ul> <li>Low school enrollment</li> <li>Small population</li> <li>Welfare dependence</li> <li>Limited healthcare</li> </ul>	<ul> <li>+ Good education</li> <li>+ Diverse population</li> <li>+ Health care available</li> <li>+ Resident processor labor force</li> <li>+ Coast Guard base</li> <li>- Out-migration</li> <li>- Homelessness, drugs, alcohol</li> </ul>
Natural	<ul> <li>+ Personal use fishery</li> <li>+ High dependence on commercial fishery</li> <li>+ Some recreational fishing</li> <li>- Boat pollution in Kenai River</li> <li>- Abuse of dip-net fishing</li> <li>- Set-netting closure</li> </ul>	<ul> <li>+ Personal use fishery</li> <li>+ World class sport fishing</li> <li>- Pressure on river resources</li> <li>- King salmon declines</li> </ul>	<ul> <li>+ Highly engaged in commer cial fishing</li> <li>+ Sport fishing</li> <li>+ Highly engaged in subsist ence fishing</li> <li>+ 2 charter fishing businesses</li> <li>+ Cod fishery viable</li> <li>- Decline in halibut and salmon</li> </ul>	<ul> <li>+ Some commercial fishing</li> <li>+ Sport fishing</li> <li>+ Highly engaged in subsistence fishing</li> <li>+ Hunting</li> <li>- Oil spill effects</li> <li>- Decline in clams and shellfish from otters</li> </ul>	<ul> <li>Highly engaged in com mercial fishing</li> <li>Sport fishing</li> <li>Highly engaged in subsistence activity</li> <li>Hatcheries stock some salmon species</li> <li>Decline in stocks</li> <li>Increased red tide</li> <li>Ballast water discharce</li> </ul>
Physical	+ Good roads + Good infrastructure + Good housing + Business services + Retail available + Fish processors + School + Hospital	<ul> <li>+ Available housing/subdivi sions</li> <li>+ Hospital</li> <li>+ Fishery support services</li> <li>- Infrastructure improve ments needed</li> <li>- Development concerns</li> </ul>	<ul> <li>+ Public health and social services building</li> <li>- Not connected to the main road system</li> <li>- Isolated and remote</li> <li>- No ferry system</li> <li>- Unaffordable/seasonal housing</li> <li>- Lack of processors</li> </ul>	<ul> <li>Lack of roads</li> <li>Isolated and remote</li> <li>No ferry system</li> <li>Lack of housing</li> <li>No fishery support services, including a processor</li> </ul>	<ul> <li>+ Good infrastructure</li> <li>+ Good processing</li> <li>infrastructure</li> <li>+ Retail</li> <li>+ Transportation and</li> <li>freighting hub</li> <li>+ Fishery support services</li> <li>- Inadequate housing</li> <li>(continued)</li> </ul>

COASTAL MANAGEMENT 🍥 365

Table 2.	Continued.				
	Kenai	Soldotna	Seldovia	Port Graham	Kodiak
Social Political	<ul> <li>+ Strong sense of community</li> <li>+ Native tribes</li> <li>+ Share fish, elder food bank</li> <li>- High levels of conflict between fishing groups that polarize the community</li> <li>+ Tribe and City have a good working relationship</li> <li>- Conflict between city, borough and State in regards to fishing policy</li> </ul>	<ul> <li>+ People are friendly</li> <li>+ Outdoor recreational opportunities</li> <li>- Conflict between sport and commercial fishing</li> <li>- Contention between user groups, the Alaska Department of Fish &amp; Game and the Alaska Board of Fish over Chinook management</li> </ul>	<ul> <li>+ Small friendly community</li> <li>+ Safe, residents watch out for kids</li> <li>+ Volunteer Emergency /Fii</li> <li>Department services</li> <li>+ Ourdoor recreation</li> <li>opportunities</li> <li>- Winter depression</li> <li>+ Community Quota Entity</li> <li>with permits</li> <li>- Conflict between the city</li> <li>and tribe over land</li> <li>development</li> </ul>	<ul> <li>+ Good social relations</li> <li>+ Shared values and culture</li> <li>+ Native tribe</li> <li>+ Share fish</li> <li>+ Village Corporation is</li> <li>making better investments</li> <li>+ Community Quota Entity</li> </ul>	<ul> <li>+ Fishermen are adaptive in terms of switching gear types</li> <li>+ Family oriented</li> <li>+ Community cohesion</li> <li>+ Churches</li> <li>- Conflict between</li> <li>fishery groups</li> <li>- Rationalization is detri mental to the community</li> <li>- Participation in Council process needs improvement</li> <li>- Local government does not represent community</li> </ul>
	Ouzinkie	Port	Lions	Dillingham	King Salmon
Financial	<ul> <li>+ Native Corporation investments/dividenc opportunities</li> <li>- Lack of economic diversity</li> <li>- Out-migration of per</li> <li>- Lack of jobs</li> <li>- High cost of living</li> <li>- High cost of living</li> <li>- Uot of fishery skills</li> <li>youth interest in fish</li> <li>- Low moral</li> <li>- Alcohol</li> <li>- Poor education</li> <li>- Domestic violence</li> </ul>	<ul> <li>+ Dividends fractoration</li> <li>- Corporation</li> <li>- Corporation</li> <li>- Poor econor</li> <li>- Poor econor</li> <li>- Lack of jobs</li> <li>- Dedre fish contro</li> <li>- High cost of</li> <li>+ Good work</li> <li>+ Retired into</li> <li>ing</li> <li>- Aging populition</li> <li>- Decreasing populition</li> <li>- Decreasing populition</li> <li>- Community</li> <li>-</li></ul>	om Afognak ++ ness ++ ness ++ my ++ mommercial and ++ filving ++ hers have inte ++ the the inte ++ the the poor ++ poor ++ tfishermen ++ tfish	Diverse economy Some tourism Native corporation and government jobs BBEDC <sup>2</sup> loans for fisheries Job opportunities Loss of permits High cost of living Prouth leave for education and Youth leave for education and Good healthcare High turnover/out-migration Alcohol and drugs Domestic violence Low morale	<ul> <li>+ Government jobs</li> <li>+ Ecotourism lodging: fishing and hunting</li> <li>+ Seasonal jobs/cannery work</li> <li>+ BBEDC investments</li> <li>+ Fishery tax revenue</li> <li>- High turnover and out-migration</li> <li>- Nonresident business</li> <li>owners</li> <li>- Poor education; school is in neighboring community</li> <li>- High volume of seasonal residents, and transient workforce</li> </ul>
					(continued)

Table 2. Co	intinued.			
	Ouzinkie	Port Lions	Dillingham	King Salmon
Natural	+ Commercial fishing + Subsistence community	+ Highly engaged in com mercial fishing	+ Commercial fishing + Hichly encaged in subsistence	+ Stable salmon stocks + Recreational/snort fishing
	<ul> <li>Decline in halibut and</li> </ul>	+ Some charter/sport fishing	fishing	community
	reduced quota	+ Subsistence fishing	+ Some recreational fishing	+ Some commercial fishing
	<ul> <li>Concerns about the</li> </ul>	+ Locally enhanced salmon	+ Access to healthy salmon	+ Subsistence fishing
	effects of trawling	run	resources	<ul> <li>Decline in caribou</li> </ul>
	<ul> <li>Decline in beach</li> </ul>	<ul> <li>Impacted from oil spill</li> </ul>	<ul> <li>Decline in halibut</li> </ul>	and moose
	subsistence activity	<ul> <li>Decline in king crab</li> </ul>	<ul> <li>Oil spill effects and oil</li> </ul>	
	(PSP and oil spill)	<ul> <li>Decline in halibut</li> </ul>	development	
	•		<ul> <li>Pebble mine threat</li> </ul>	
Physical	+ Good location	+ Building new dock	+ Goods and services hub	+ Processors
	+ Large dock	+ Good location	+ Processors	+ Lodges
	+ Dam in process	<ul> <li>No store or restaurant</li> </ul>	+ HUD homes	+ Airport; improving infra
	<ul> <li>Infrastructure</li> </ul>	<ul> <li>Unaffordable housing</li> </ul>	+ Road projects completed	structure
	improvements needed	- No ferry	+ Fisheries infrastructure	<ul> <li>Need cheaper, alternative</li> </ul>
	<ul> <li>Lack of infrastructure</li> </ul>		<ul> <li>Aging infrastructure</li> </ul>	energy source such as
	<ul> <li>No processing plant</li> </ul>		<ul> <li>Coastal erosion</li> </ul>	geothermal
			<ul> <li>Exposed sewage pipes</li> </ul>	
Social	+ Some community	+ Strong desire to live in the	+ Strong culture	+ Small, quiet community
	cohesion	community	+ Collaborative/cohesive	+ Share fish/subsistence
	+ Native tribes	+ Calm and peaceful	community	resources
	+ Churches	community; minimal	+ Native tribes culture	+ Social cohesion in tough
	<ul> <li>Community faction</li> </ul>	conflict	+ Share fish	times
	<ul> <li>Family oriented yet</li> </ul>	+ Native tribes	<ul> <li>Fishery group conflict</li> </ul>	+ Pebble mine created
	family controlled;	+ Diverse community	(commercial, sport and	unlikely bedfellows
	family feuding	+ Family fishing	subsistence)	<ul> <li>Poor sense of community</li> </ul>
				<ul> <li>Some tension between</li> </ul>
				guides, commercial and
				subsistence fishermen

COASTAL MANAGEMENT 🕁 367

(continued)

Uuzinkie + Community Quota Entity:	Port Lions + Community Quota Entity	Dillingham + BBEDC2 supports the	King Salmon N/A
e village has been te to obtain quota ough sales of timber me progressive leaders cal leadership concerns	<ul> <li>recently established</li> <li>Limited entry for salmon</li> <li>has harmed community</li> <li>Conflict between city</li> <li>and tribe</li> <li>Lack of leadership</li> </ul>	commercial fishery and provides opportunity for new entrants + Cooperation and Memorandum of Understanding between city and tribe	
Naknek	South Naknek	Aleknagik	Sand Point
shery tax revenue	<ul> <li>Commercial fishery decline</li> </ul>	+ Jobs opportunities with the	+ Some employment opportu
me well-paying jobs	<ul> <li>Fishing seasonal-need</li> </ul>	city, tribe, school, and con	nities besides fishing
arket price for salmon	other job	struction	+ Fish, bed, sales, and
increasing since fishery	<ul> <li>Lack of jobs</li> </ul>	+ Access to jobs in Dillingham	property tax
ash	<ul> <li>High cost of living</li> </ul>	+ Sales, bed and fishery tax	+ Fleet is mainly local
ck of employment		+ BBEDC loans	+ Hunter tourism in fall
oportunities, particularly		+ Higher than average permit	<ul> <li>Lack of processor</li> </ul>
the winter		retention rate	competition
oss of permits		<ul> <li>High cost of living</li> </ul>	<ul> <li>High cost of living</li> </ul>
igh cost of living			
BEDC education and	+ BBEDC job training grants	+ BBEDC educational training	+ Hard working
ob training grants	<ul> <li>Declining population</li> </ul>	<ul> <li>Decrease in school enrollment</li> </ul>	<ul> <li>Alcohol and drug issues</li> </ul>
ecrease in school	<ul> <li>Aging population</li> </ul>	<ul> <li>Poor education; education only</li> </ul>	<ul> <li>Declining community</li> </ul>
nrollment	<ul> <li>Alcohol and drug issues</li> </ul>	available K-8	<ul> <li>Summer influx of fishery</li> </ul>
oor teacher retention	<ul> <li>Welfare dependence</li> </ul>	<ul> <li>Alcohol and drugs</li> </ul>	workers
outh leave after high	<ul> <li>Kids must fly to school</li> </ul>	<ul> <li>Out-migration</li> </ul>	<ul> <li>Transient cannery workers</li> </ul>
chool	in Naknek		<ul> <li>Low morale in younger</li> </ul>
rugs and drug-related			generation
eaths			<ul> <li>Decrease in</li> </ul>
it-migration			school enrollment

Table 2. Contin	ued.			
	Naknek	South Naknek	Aleknagik	Sand Point
Natural	<ul> <li>Highly engaged in com mercial fishing</li> </ul>	+ Set net community; com mercial fishery	<ul> <li>Highly engaged in commercial fishing (set and drift net)</li> </ul>	+ Diverse commercial fisheries (pollock, halibut, cod,
	+ Highly engaged in	engagement is high	+ Some sport fishing	salmon, crab)
	subsistence fishing	+ Highly engaged in	+ Highly engaged in subsistence	+ All year fishing
	<ul> <li>Waste from old canneries</li> </ul>	subsistence fishing	fishing and hunting	+ Some subsistence fishing
	<ul> <li>Decline in moose and</li> </ul>	<ul> <li>Decline in caribou</li> </ul>	<ul> <li>Decline in moose population</li> </ul>	+ Bison hunt
	caribou	<ul> <li>Decline in king salmon</li> </ul>	<ul> <li>Pebble mine threat</li> </ul>	<ul> <li>Sea lion and whale issues;</li> </ul>
	<ul> <li>Decline in halibut</li> </ul>			too many
	<ul> <li>Bycatch concerns</li> </ul>			
	<ul> <li>Pebble mine threat</li> </ul>			
	<ul> <li>Offshore oil lease threat</li> </ul>			
Physical	+ Canneries coming	+ Library	+ Building bridge	+ Improving infrastructure
	+ Bristol Bay Housing	+ Clinic	+ Dock and haul out	(paved roads, new harbor)
	Authority and Bristol Bay	<ul> <li>Lack of stores, fuel must</li> </ul>	+ Float plane project	+ Clinic
	Area Health Corporation	be brought from Naknek	+ Road to Dillingham improved	+ Adequate housing
	assists with housing/	<ul> <li>No school</li> </ul>	and maintained	<ul> <li>Limited fishery support busi</li> </ul>
	energy efficiency	<ul> <li>Remote</li> </ul>	+ HUD housing	nesses
	+ Expanding dock/port	<ul> <li>No bridge to Naknek</li> </ul>	<ul> <li>Aged water and sewer</li> </ul>	<ul> <li>Limited ferry service</li> </ul>
	<ul> <li>Remote</li> </ul>	<ul> <li>Trident plant and other</li> </ul>	<ul> <li>Native land allotments being</li> </ul>	(1 per month)
	<ul> <li>Sewage system</li> </ul>	canneries closed	sold to outsiders	<ul> <li>Isolated/remote</li> </ul>
	over capacity		<ul> <li>Spread out community</li> </ul>	
Social	+ Residents pull together	+ Family fishing	+ Family fishing	+ Self-policing
	in a crisis	+ Strong desire to live in the	+ Community cohesion	+ Community pride and
	+ Outdoor recreational	community	+ Minimal conflict	cohesion
	opportunities and sports	+ Community cohesion	+ Strong leaders	+ Politically active fishermen
	teams	<ul> <li>Some conflict between</li> </ul>	+ Native culture	+ Share fish
	+ Share fish	commercial and recre	+ Share fish/resources	<ul> <li>Not enough leaders</li> </ul>
	<ul> <li>Conflict over peb</li> </ul>	ational fishermen	<ul> <li>Locals and lodge conflict</li> </ul>	<ul> <li>Limited activities for youth</li> </ul>
	ble mine			<ul> <li>Conflict and equity concerns</li> </ul>
				with outside/CDQ boats
				<ul> <li>Territorial disputes with</li> </ul>
				Kodiak fishermen
				(continued)

COASTAL MANAGEMENT 🕥 369

<sup>1</sup>Community Quota Entities are eligible community entities that may purchase commercial fishery permits and or quota for lease to community residents. <sup>2</sup>BBEDC (Bristol Bay Economic Development Corporation) is one of the Western Alaska Community Development Quota (CDQ) programs entitled to purchase fishery quota for participat-ing communities.

 
 Table 3. Social and fisheries participation indices validated from Himes-Cornell and Kasperski (2016).

Social Indices	Fisheries Participation Indices
Personal disruption	Commercial fishing engagement
Poverty	Commercial fishing reliance
Labor force structure	Recreational fishing engagement
Housing characteristics	Recreational fishing reliance
Housing disruption	Subsistence fishing involvement

"diverse economy" were listed in the financial capital category, whereas "available labor" was listed under human capital. We added a political capital category to illustrate that any discussion of community well-being would be incomplete without formally recognizing the role of policy in resource allocation. This became more prevalent during data analysis since political statements were prominent in the data. As Adger (2006, 270) succinctly states, "vulnerability is driven by inadvertent or deliberate human action that reinforces self-interest and the distribution of power in addition to interacting with physical and ecological systems." As such, political efficacy is treated as an asset that is mobilized rather than an external process. The capital assets table was generated through researcher immersion in the data, as part of the analysis of each community. There were not sufficient data to create a separate category of cultural capital; therefore, codes capturing cultural aspects were included in the social capital category. We included supportive and illustrative quotes of dominant themes from the data to ground the framework (Appendix Table A1).

In populating the capital assets data Table 2 we included a plus or a minus categorization to differentiate between positive and negative capital, and potential sources of vulnerability for each entry as has been conducted elsewhere (Cutter, Boruff, and Shirley 2003; Oulahen et al. 2015). Categorization of +/- criteria was based on the language of respondents, as well as literature on capital assets in relation to vulnerability and well-being. For example, those communities that indicated they had a diverse economy were assigned a positive sign ("+ diverse economy" was entered into the table under the category financial capital for that community), considering that a diverse economy is generally more stable and less susceptible to perturbations (Cutter, Boruff, and Shirley 2003).

To prepare the quantitative social indices for ground-truthing, we developed rankings from community vulnerability scores generated from the PCFA that was previously conducted in (Himes-Cornell and Kasperski 2016). Each quantitative index provides normalized factor scores for each community, such that 0 equals the mean, and the community scores represent the standard deviation from the mean. The higher the score, the higher the level of vulnerability for that index. We therefore converted the numeric community scores to low ( $\leq 0$ ), medium (0–.49), medium high (.50–.99), and high ( $\geq 1$ ) ranks per index, consistent with NMFS National social vulnerability reporting (http://www.st.nmfs. noaa.gov/humandimensions/social-indicators/map). Next, drawing from our ethnographic data analysis, we subjectively ranked the vulnerability indices for each community as low, medium, medium high, or high. The qualitative rankings were then compared against quantitative rankings for each of the indices (Table 4 of the results). For example, if the community PCFA score was low and the qualitative rank medium, or medium and

Table 4. Vulnerability rar	lkings of comm	unity social and	fishing engager	ment indices.							
	Personal Disruption (Human Capital)	Poverty (Human Capital)	Labor Force Structure (Financial Capital)	Housing Characteristics (Physical Capital)	Housing Disruption (Financial Capital)	Commercial Fishing Engagement (Natural Capital)	Commercial Fishing Reliance (Natural Capital)	Recreational Fishing Engagement (Natural Capital)	Recreational Fishing Reliance (Natural Capital)	Subsistence Harvesting Involvement (Natural Capital)	
Kenai PCFA Kenai qualitative rankind	Low	Low Low	Low Low	Low Low	Medium Low	High High	Medium High	High Medium	Medium Medium	Low Medium	
Kodiak PCFA	Low	Low	Low	Low	Low	High	High	High	Medium	Medium High	
Kodiak qualitative ranking	Low	Low	Low	Low	Low	High	High	Medium	Medium	High	
Soldotna PCFA Soldotna qualitative ranking	Low Medium	Low Medium	Medium Low	Low Low	Medium Low	High Medium	Medium Medium	High High	High High	No data Medium	
Seldovia PCFA Seldovia qualitative ranking	Low High	Low High	High High	Medium High	Medium High	High High	High High	High Medium High	Medium Medium High	Low High	
Port Graham PCFA Port Graham qualitative ranking	Medium High High	High High	Medium High	Medium High High	No data High	Medium Medium	Medium Medium	Low	Low	Low High	
Ouzinkie PCFA Ouzinkie qualitative ranking	Low High	Low High	Medium High High	No data High	No data High	High High	High Medium	Medium High Low	Medium Low	Low High	
Port Lions PCFA Port Lions qualitative ranking	Low High	Low High	Low High	Low High	Medium High High	High High	High High	High Medium	High Medium	Low High	
Dillingham PCFA Dillingham qualitative ranking	Low Medium	Low Medium	Low	Low Low	Medium Low	High High	High Medium High	High Medium	Low	Low High	
Aleknagik PCFA Aleknagik qualitative ranking	Medium High Medium High	High High	Medium Medium	No data Medium	No data Low	High High	High High	Medium High Medium	Medium Low	Low High	
Sand Point PCFA Sand Point qualitative ranking	Low Medium	Low Medium	Medium Medium	Low Medium	Medium High Medium	High High	Medium High High	High Medium	High Low	Low Medium High	
Naknek PČFA Naknek qualitative ranking	Low Medium High	Low Medium High	Low Medium	Low Medium	Low Medium	High High	High High	Medium Low	Low	Low High	

372 😧 A. LAVOIE ET AL.

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	Personal Disruption (Human Capital)	Poverty (Human Capital)	Labor Force Structure (Financial Capital)	Housing Characteristics (Physical Capital)	Housing Disruption (Financial Capital)	Commercial Fishing Engagement (Natural Capital)	Commercial Fishing Reliance (Natural Capital)	Recreational Fishing Engagement (Natural Capital)	Recreational Fishing Reliance (Natural Capital)	Subsistence Harvesting Involvement (Natural Capital)	
South Naknek PCFA	Low	No data	Low	No data	Medium	High	High	Low	Low	Low	
South Naknek qualitative ranking	High	High	High	High	High	Medium	High	Low	Low	High	
King Salmon PCFA	Low	Low	Medium	Low	Medium High	High	Medium High	High	High	Low	
King Salmon qualitative ranking	Medium	Medium	Low	Low	Low	Medium	Medium High	High	High	High	Totals
Complete agreement	23.1%	30.8%	38.5%	38.5%	7.7%	76.9%	76.9%	30.8%	69.2%	0.0%	39.2%
Moderate agreement	30.8%	23.1%	46.2%	30.8%	61.5%	23.1%	23.1%	61.5%	30.8%	15.4%	34.6%
Complete disagreement	46.2%	38.5%	15.4%	7.7%	7.7%	0.0%	0.0%	7.7%	0.0%	76.9%	20.0%
No data	0.0%	7.7%	0.0%	23.1%	23.1%	0.0%	0.0%	0.0%	0.0%	7.7%	6.2%
The darker the cells the green	eater the agreem	ient between i	rank.								

high, respectively, they are in moderate agreement. If the PCFA score was low and the qualitative rank high, or vice versa, they are in complete disagreement.

Only 10 of the original 14 quantitative indices in Himes-Cornell and Kasperski (2016) were validated because there were not sufficient qualitative data to support validation of four indices (population composition, status of schools, commercial processing engagement, and commercial processing reliance) (see Table 3 for the list of indices validated). In other words, respondents did not sufficiently elaborate on these aspects within their communities in the interviews.

## Results

#### Ground-truthing social vulnerability scores

The majority (73.8%) of the quantitative community ranks were in complete agreement (39.2%) or moderate agreement (34.6%), and 26.2% were in complete disagreement with qualitative ranks, or lacked data to compare (Table 4). The most uniform rankings were the with the commercial fishing engagement and commercial fishing reliance indices (10 of 13 communities with equal rankings), and recreational fishing reliance (9 of 13 communities with equal rankings). Specifically, for commercial fishing engagement, there was slight disagreement for the rankings of Soldotna, South Naknek, and Sand Point in which the quantitative ranks were high engagement and qualitative ranks were medium engagement. For commercial fishing reliance, the Kenai quantitative ranking was slightly lower (medium) than the qualitative rank (high), Ouzinkie and Dillingham quantitative ranked slightly higher (high) than the qualitative rank (medium and medium high, respectively), and the Sand Point quantitative rank was slightly lower (medium high) than the qualitative rank (high). Of the recreational fishing rankings, the quantitative rank for Seldovia was medium, whereas the qualitative rank was medium-high, Ouzinkie and Naknek quantitative ranks were medium and the qualitative ranks were low, and Port Lions quantitative rank was high and the qualitative medium.

The index with the highest level of disagreement between quantitative and qualitative rankings was subsistence fishing involvement, as 10 of the 13 communities showed high disagreement. Only Kenai and Kodiak rankings were in close agreement, even though both still had lower quantitative ranks than the qualitative ranks. Soldotna's qualitative rank was not compared given the lack of data needed to include it in the quantitative analysis. The ethnographic data demonstrates that subsistence fishing involvement is much higher than suggested by the quantitative index, which will be examined more carefully in the discussion section. In addition, there was high disagreement of rankings for personal disruption and poverty of Seldovia, Ouzinkie, Port Lions, Naknek, and South Naknek. The ethnographic data suggests that these communities are highly vulnerable in terms of these indices and this may not be reflected by the quantitative results.

The communities that demonstrated the highest agreement between quantitative and qualitative rankings of the indices were Kodiak (8 of the 10 rankings were equal) and Kenai (6 of 10 rankings were equal). The remaining communities had five indices or

less with equal rankings. Port Lions had the highest disagreement between ranks as there was high disagreement of six indicators.

#### Ground-truthing in context

Factors that affect community vulnerability vary and each community has unique histories and characteristics. These factors are based upon the interviews conducted in each community and provided the basis for the qualitative ranks of the indices for each community. There are also common trends across communities, as demonstrated in Table 2. Prominent trends across communities include reliance upon subsistence resources to supplement livelihoods, high cost of living, out-migration, lack of economic opportunity, and decreased opportunity in commercial fishing. Social factors, such as conflict and social capital also may increase or decrease a community's vulnerability, and these are not reflected in the quantitative indices, yet are common across communities. In addition, compromised resources such as reduced salmon stocks, particularly Chinook, and oil spill pollution decrease the availability of subsistence resources that communities heavily rely upon, increases community vulnerability.

Subsistence fishing for salmon was significantly important to all communities in this study. It is particularly critical for smaller communities with fewer available jobs, yet all communities rely upon subsistence fishing as a livelihood supplement, for food security, and culture. Many communities are remote and do not have sufficient infrastructure for accessing affordable grocery stores. With the high cost of living, subsistence fishing is often how people get by and provides food during winter when fishing activity is slow. Subsistence fishing contributes to community well-being by providing food resources on a regular basis, which is even more critical during periods of economic decline. It is also a way of life and an important part of local culture regardless of community size. This includes community sharing of subsistence resources, including elder programs, which reinforces community social networks and cohesion.

Respondents across all communities remarked that cost of living in rural Alaska is prohibitively high. High costs permeate all facets of life including housing costs, utilities such as electricity and heating oil, cost of food, and cost of fuel which affects travel, access to goods, as well as fishing activity. Respondents reported that in the past they would frequently fly to Anchorage to pick up supplies, but more recently the trip cost has been prohibitive. Increasing costs have impacted the availability of ferry, barge and airline services, and the ability of residents to access these services. Larger communities that have sufficient infrastructure, such as Kodiak, Kenai, and Dillingham, are better positioned to support fishing activities and provide services to other communities (Kent and Himes-Cornell 2016; Lyons, Carothers, and Reedy 2016). Some communities lacked ferry service or service was infrequent (i.e., once per month), which diminishes resident's ability to access essential goods, supplies, and services. The lack of infrastructure and transportation also increases their vulnerability because of the limited ability to evacuate in the event of a natural disaster, as has been emphasized elsewhere (Cutter et al. 2008).

Lack of economic opportunities was a major theme for the communities of South Naknek, Port Graham, Ouzinkie, Port Lions, Aleknagik, Seldovia, and Soldotna.

Respondents expressed concern that many communities lacked job opportunities that would allow residents to remain in communities. Issues arose about not having options to supplement a bad fishing season. Ultimately, lack of employment was a limiting factor to retaining existing residents; permanent out-migration, welfare, and/or seasonal migration for work were reported as the options available for communities with stagnant economies. These smaller communities are more vulnerable because they have limited options for earning income and are more at risk to political and environmental shocks as recovery from shocks take more time (Cutter, Boruff, and Shirley 2003).

Migration was also a particular issue for smaller communities that were heavily dependent on fisheries, such as Seldovia, Port Graham, Port Lions, South Naknek, Naknek, Ouzinkie, and Aleknagik, as has been demonstrated elsewhere (Donkersloot and Carothers 2016). Out-migration occurred where there are limited job alternatives to fishing. Some migration is seasonal, as residents seek work in other communities, and others move where jobs are located. Extensive out-migration leads to reduced services, including school closures and this leads to a vicious cycle in which the community cannot attract new residents. Many respondents stated "the loss of a school is the death of a village." In some cases, such as South Naknek, enough residents migrated to Wasilla and Anchorage that the Village Council also moved its office. The findings also indicate that commercial fishery activity is variable, from both natural cycles and regulation. Residents must either leave to find work, or find other sources of income, including welfare and social assistance. Lack of employment in communities ultimately leads to outmigration and community decline (Himes-Cornell and Hoelting 2015; Donkersloot and Carothers 2016).

Finally, decreased engagement in commercial fisheries was an issue for most communities. Some residents sold permits to recover lost income associated with stock declines or market crashes. For example, Port Graham and Port Lions residents sold commercial fishery permits after fish value dropped from the Exxon Valdez oil spill. For others, fisheries declined following cannery closures. In larger communities, such as Dillingham and Kenai, fishermen sold permits because of the high costs of participating in fisheries. In most cases, permits were sold to individuals residing outside of the community and even outside Alaska. The loss of permits and reduced engagement in fisheries increases a community's level of vulnerability, particularly in areas with few economic alternatives where communities have diminished in size (Donkersloot and Carothers 2016).

#### Discussion

This ground-truthing exercise which sought to validate quantitative social indices of community vulnerability verified that the quantitative indices were largely consistent with the qualitative data collected from representative communities. However, there were some notable exceptions. Utilization of the capital assets framework confirmed that several factors affecting community vulnerability and well-being are not currently reflected in the quantitative indices and may prove very difficult to quantify in the future. Our findings demonstrate that inclusion of community social capital, policy, physical capital (infrastructure), and ecological indicators is integral to a holistic evaluation of community vulnerability and well-being.

The most consistent synergies between the quantitative index scores and ethnographic findings occurred with the commercial and recreational fishing indices, particularly with commercial fishing engagement and reliance. This is likely because respondents discussed fishing in terms of livelihood dependence and reliance, which is directly comparable with the variables included (e.g., as ex-vessel value of commercial catch by residents, number of vessels and permits owned by residents and crew licenses) to develop the fishing indices. These variables appear to accurately reflect fishery participation. There was some inconsistency, however, with the recreational fishing engagement findings in some communities, including Ouzinkie, Port Lions, and Sand Point. The ethnographic data indicated there was less recreational fishing engagement and reliance in these communities than the quantitative indices suggest. For example, Ouzinkie and Port Lions have been experiencing declines in recreational fishing, although residents have charter fishing licenses. Respondents in these communities reported that lodges were for sale and the economic climate has shifted away from recreational fishing. Also, recreational fishing activity in Sand Point may not be as prominent as the quantitative indices suggests since respondents mainly discussed commercial fishing and some were openly hostile to expanding local recreational fishing opportunities.

The subsistence harvesting involvement index was the least robust in representing the importance and extent of subsistence fishing. This is likely due to the quality of secondary data for subsistence harvest. Our analysis of ethnographic data confirmed that all 13 communities participated in subsistence fishing at moderate or high levels, and communities were dependent upon subsistence fishing to supplement their livelihoods while the quantitative indices reflected low subsistence engagement for 11 of the 12 communities with data. This identifies two key lessons from this ground-truthing exercise. First, the quantitative approach is only as good as the data used in the analysis and the subsistence data used to create the subsistence harvesting engagement index has some important limitations (see Note 1 in Himes-Cornell and Kasperski 2016). For instance, data are reported voluntarily and while comprehensive when collected, only a few communities are completely surveyed each year resulting in some communities not having been surveyed since the 1980s. Other times it is unclear or undocumented how many people depend on resources when subsistence harvests are shared within families and entire communities. It is entirely possible that one subsistence fishing permit provides for multiple people, as sharing fish is common within and between fishing community families. Second, the PCFA methodology creates a relative score for all entities included in the analysis so only communities that are relatively more engaged than average will get a score above the mean, even if subsistence harvesting is objectively important to all communities. Therefore, the quantitative indices should always be considered in reference to the other communities included in the analysis, because while several of the visited communities had a low subsistence importance ranking among all Alaska communities, relative to all communities in the United States, these communities would certainly rank highly on subsistence importance (ADF&G 2000). This potentially different focus of the quantitative and qualitative metrics should not be ignored when comparing across approaches and some metrics may be more useful as relative comparisons across entities while others are more informative as an assessment in absolute terms.

378 🕢 A. LAVOIE ET AL.

With regard to nonfishery social indices, the labor force structure and housing characteristics indices appear to be relatively robust, while personal disruption, poverty, and housing disruption are less reliable. It is notable that the social indices appear to be more accurate in larger and more economically diverse communities. For example, the larger fishing communities of Kodiak, Dillingham, and Kenai had low quantitative scores for the poverty and personal disruption indices. This was verified with ethnographic data, as these communities had more diverse economies and job opportunities. However, the quantitative indices typically failed to capture higher rates of personal disruption and poverty in smaller communities heavily dependent upon fishing (i.e., Seldovia, Ouzinkie, Port Lions, Naknek, and South Naknek). These communities did not have a stable work force due to out-migration. They lacked education and economic opportunities, and were reliant on other forms of income such as social security and corporation dividends. In addition, the communities of Port Graham, Ouzinkie, Aleknagik, and South Naknek lacked the secondary data needed to create complete and reliable quantitative indices.

There are challenges to developing social indices that accurately represent community vulnerability. Inconsistencies in the collection of U.S. Census data from smaller communities that are remote and difficult to access, reduce the reliability of indices representing smaller communities in Alaska. The quantitative social indices could be modified to better reflect on-the-ground realities. For example, fishery permit retention, cost of living, migration, stock status, and infrastructure (physical capital) are suitable variables to incorporate, given that these were prominent themes in the communities. Interviewees in all communities mentioned a decline in species such as king salmon or halibut suggesting that ecological indicators such as stock status are relevant to vulnerability evaluations. The quantitative indices also do not capture social or political processes. Our findings demonstrate that smaller communities, such as Port Lions, Naknek, South Naknek, and Port Graham, with higher ranks of vulnerability, demonstrated strong social capital. Conversely, communities such as Kenai and Soldotna experienced conflict between fishing groups. These are significant factors that should be included in social indicator development, given that social capital increases community resilience to events that could alter their socio-economic status whereas conflict weakens their ability to withstand shocks and collectively promote change to improve fisheries (Adger 2010; Cutter, Boruff, and Shirley 2003; Miller et al. 2010). In addition, communities with access to political capital or strong forms of representation, such as BBEDC communities, have more opportunities for engaging in fisheries.

Assumptions about the vulnerability of communities based on secondary data should be carefully evaluated and cross examined with qualitative ethnographic data as several on-the-ground themes emerged from the ground-truthing exercise. Prominent trends across communities include significant reliance upon subsistence resources to supplement livelihoods, high cost of living, out-migration, lack of economic opportunity, and decreased opportunity in commercial fishing. Our finding of heavy reliance on subsistence fishing in all communities is consistent with other research that emphasizes the significance of subsistence fishing activity in Alaska communities (Donkersloot and Carothers 2016; Loring and Gerlach 2009). Also, the issues of permit loss and high costs of fisheries entry has been a common trend since the implementation of limited entry and later catch share programs in Alaska (Carothers 2013; Carothers, Lew, and Sepez 2010; Donkersloot and Carothers 2016; Fina 2011; Himes-Cornell and Hoelting 2015; Knapp 2011; Lyons, Carothers, and Reedy 2016). This suggests that indicators for fisheries policy and markets should also be included in evaluations of fishing community vulnerability.

In addition, compromised natural capital, such as reduced fish stocks and pollution, increases community vulnerability; and political and social factors, such as conflict and social capital which may increase or decrease a community's vulnerability, are not reflected in the quantitative indices, yet are common across communities. The capital assets framework captured these factors and provided a practical approach for ground-truthing and evaluating levels of community vulnerability in rural Alaska. This approach helped reveal the importance of social and political capital in evaluations of community vulnerability. It also revealed that communities with lower vulnerability scores for some quantitative indices presented higher qualitative scores of vulnerability based on ethnographic data, or they lacked quantitative secondary data altogether for creating the quantitative social indices.

Our findings demonstrate that practice of developing and validating social indices of community well-being and vulnerability is not a linear process as it requires careful consideration of diverse and complex socio-ecological processes that affect fishing community vulnerability which may not be captured from secondary data alone. As Adger (2006, 274) states, "It is important to provide consistent frameworks for measuring vulnerability that provide complimentary quantitative and qualitative insights into outcomes and perceptions of vulnerability." An ideal next step in modifying the vulnerability indices of Alaska fishing communities, to better reflect on the ground realities, will include validation by community members as has been suggested elsewhere (Oulahen et al. 2015).

#### Conclusion

Quantitative social indices are useful rapid assessment tools for assessing community vulnerability and well-being provided that they are grounded and modified where necessary. The ground-truthing exercise we present here demonstrates the importance of utilizing both quantitative and qualitative data for developing such indices, similar to suggestions by other scholars (Blount et al. 2015; Lyons, Carothers, and Reedy 2016; Oulahen et al. 2015; Pollnac et al. 2015; Smith et al. 2011; Turner et al. 2003). In our case, there was relatively strong agreement between most quantitative indices and ethnographic data, yet some indices need additional validation, especially in relation to small communities where secondary socio-economic data are not reliable. Furthermore, while each community has unique histories and relationships with resources, ethnographic research indicated that cost of living, lack of employment opportunities, reliance on subsistence resources, loss of fishery permits, and out-migration are central concerns across fishing communities of Alaska affecting their well-being. While some of these sources of vulnerability were reflected in the quantitative indices, such as employment rates and housing costs, the indices could be modified to better reflect socio-economic processes, and the social and political dynamics of fishing communities. Our findings 380 👄 A. LAVOIE ET AL.

demonstrate the need to continue validating and modifying social indicators. Social indicator development is an iterative process as efforts to create and modify indicators continue to evolve. Future efforts should consider adapting the capital assets framework to guide in indicator selection and for mixed methods research, and aid in cross-comparison of case studies of community vulnerability.

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382 🕢 A. LAVOIE ET AL.

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Table A1. F	epresentative quotes from ea	ich community integrated w	ith the capital assets framew	vork.		
Community	Financial	Human	Natural	Physical	Social	Political
Kenai	The City is in good shape financially. Important industries in Kenai include oil and gas, tour- ism, sport and charter fishing, commercial fish- ing, and the ser-	We are teaching our youth, teaching our fami- lies how to hang gear, set gear, and about proc- essing - freezing, salting, smoking, kippering, dry- ing. One goal is to keep	The dip net fishery gets crazier and crazier every year. If the reds are up the people are here. It becomes more and more apparent that we don't have the capacity to deal	The future potential is amaz- ing right now. There are great opportunities our physical location is good proximity to mining and oil and gas development - closer proximity than	There is a conflict between the set netters and the personal use fishermen. They have to drive with their fish totes up the access road that passes by the personal	The commercial fishing guys say the recreational industry has all the polit- ical power. Arguments get more and more polarized.
Soldotna	vice sector. Soldotna is not just dominated by recre- ational fishing. If com- mercial guys do well, busines ses in the commu-	the family strong - to encourage it being a family thing. There are too many char- ter guys out on the river and it is impacting the and the resources. We are lowing	with the dip net fishery. Viability of fishery has a huge impact on the com- munity, and it is uncer- tain whether the fishery is viable.	Anchorage to the west side of Cook Inlet. One indication of the type of growth is the new hospital- for snow birds (from Anchorage), and the hospital is a large part of the	use tishing area, and often the dip netters throw rocks at them. People in town are polar- ized over commercial and recreational fishing. The town is very commu- nity oriented and this	The state shut down the set-netters last year, while drift-netters contin- ued to fish. There is much scameaorting aging
Seldovia	nity do well too. All user groups are important to the community. It'll be slow growth if it grows at all. There are more and more retirees coming to town, and there is no property tax	the resource to death. The future is really a toss-up could lose the school if the population continues to drop. Medical care is a big	Salmon are an integral part of the community. Socially, culturally, and recreationally. If they took fishing away from this community, it would not survive. It is important we have fish every day. Food from	local business. If you want to go shopping, don't come here. The isolation, the lack of road system. In Homer you could just get in your car	allows people to commu- nicate better. A small community will pull together There is lots of conflict here – it's a small town. But you know the person you have conflict with and they still pull you	on between parties. The conflict is less between individuals, and more between leadership. The leadership can't seem to get
	on any or mose people. We used to have a 4 <sup>th</sup> boat here, but he retired. Now there are 3 active boats. Some of them sup- ply income for 4- 5 families.	usue in town. currently there is only one doctor in town, and he will retire next year. After that there will be no doc- tor in town.	the stores is too expen- sive-we need the subsist- ence food.	and arrve. We got a good building for health and social services, water & sewers.	out of the arch.	unigs together.
Port Graham	The community is strug- gling to find a new iden- tity in the wake of the commercial fishing crash.	Children are many times sent outside the commu- nity for school. The high school should be shut	Food from subsistence is an important part of how people get by. The Exxon [Valdez oil]	We don't have a deep-water dock for the ferry to come here. The airplane is \$150	The first salmon caught of the season is shared with the village. We also have a group fishing	The Native tribe needs to get involved in the man- agement of our fisheries, for our own good. We
						(continued)

Appendix Table A1. Representative quotes from each community integrated with the capital assets framewo

Table A1. C	ontinued.					
Community	Financial	Human	Natural	Physical	Social	Political
	Port Graham used to be a fishing town, but no longer. Many jobs are provided through the vil- lage council.	down. High school is unable to prepare chil- dren for the future.	spill drastically changed the lifestyle in Port Graham. The death of the water. Many lived off the land, and the spill killed off some of the important subsist- ence runs.	roundtrip to Homer. It's expensive to get here.	event where a group of youth (men) corral trout in the bay. There may be 200-300 caught, which are shared out to the village.	survived by keeping close watch over our resources, knowing their ups and downs, their cycles and stuff.
Kodiak	It has enough economic diversity that it can han- dle the little dips pretty well. You know the reces- sion that was hitting the lower 48 wasn't really felt here that much. People are linked to fish- ermen. The money fisher- men make fuels the town.	We have 2400 kids in the school district. 210 teachers Lot of good support for that program. Lots of kids doing great things. People view the Coast Guard in a positive light because they save so many lives. They are welcome and important.	What's really unique about living here is that everything's about fishing or the support industry. for the fishing industry. that's not enough money, even just to eat and pay rent so people go hunting the yo fish- ing, and it's a big thing here to stock your freezer for the winter.	This town has done a good job at keeping up a critical mass of infrastructure, so Kodiak is a business hub. Infrastructure is well main- tained and keeping up. Cost of housing to high and housing is inadequate.	Kodiak fishermen would rather fight than win. They fight publicly amongst each other at the council. Kodiak is labeled as fractious, like a family feud. There is an amazing amount of giving here. It's a close-knit commu- friendly town.	Being so heavily depend- ent on the fisheries puts us heavily at risk of these fisheries policies. So fish- eries policy, my simple view of it is, fisheries pol- icy will dictate the future of Kodiak, plain and sim- ple. There's no other way to say it.
Ouzinkie	One of the problems with living here is the limited number of jobs there are about twelve full time jobs here and they're taken and they are not given up. There is nothing here for ing paycheck to paycheck	Lack of work [morale] is the largest problem. Youth welfare is hurting the community. There were 14 salmon permits in town, now we are down to 2 or 3.	Town is full of survivors. They have survived fish stock crashes, natural dis- asters, economic hard- ship. However, everyone's fate is tied to the fishery.	Once the processing plant gets in, there will be more work. We're in a good pos- ition between Kodiak and the fishing grounds for a processing plant.	Community is like one big family. There are a lot of disputes and fac- tioning. VPSO [Village Public Safety Officer] acts as a mediator in many situations.	Increasing strength in the fact that the different governments in Ouzinkie seem to be working together better. If the leaders get together, others will follow suit.
Port Lions	If you get a job here you keep it until you retire or die. Jobs are passed down through families. The biggest employers are the Tribe. City and school. Thar's it. There's no economic	There is a good group of kids that like to work. There is a good work ethic here. Teachers that have stayed here are inte- stayed here are inte- nity. This is home. The	Without fishing, the town would die out. All of those fisheries were available to me. I did them all trying to survive. A lot of those fisheries aren't available now.	It's sad. This whole island has about had it. It just costs so much to live here. Lack of ferry. The Tustamena needed "repairs". It is uncer- tain whether or not it will be condemned. Losing the ferry is a big loss to us,	Port Lions is more of a melting pot of a village than the rest. It's a mix of people from Afognak, Ouzinkie, and also out- siders one thing - it's kind of divided between the tribe	Lack of interest from locals to be civically active. Lack of leadership. No elders to lead. After limited entry, those kids were not able to fish. Breaking up whole generations of (continued)

Table A1. C	ontinued.					
Community	Financial	Human	Natural	Physical	Social	Political
	base. And that is gonna be the killer, right there.	mayor is one of those retired teachers.		especially without a store here.	and the city council. It's like cowboys and Indians.	fishermen lead to a decline as a fish- ina village
Dillingham	It's more of a diverse community in terms of economic opportunity when you combine the subsistence lifestyle that you can have, the com- mercial fishing, the sports mercial fishing, the sports ways to make a liv- ing here.	We have seen a steady decline in our dropout rate every year that we have a pretty aggressive drop-out prevention pro- gram that we put in. Alcohol. We gotta get that out of here.	It's a place where you have salmon, and the salmon is the culture, and you know, the peo- ple, they live good or live bled by the salmon runs. We're the #1 sockeye fishery in the world.	We're a hub for this part of the state, so we have some amenities and services that you don't find out in the smaller villages that sur- round us.	And then also with this great abundance that we have with our fishing, when it comes in, there is a lot of sharing amongst family members, and giv- ing to the elders. So, yeah, it's a neat cultural spect of what happens here locally.	my muge. huge help to the fishing huge help to the fishing industry within Bristol Bay. The city, the tribe, and the corporations, can generally work together.
King Salmon	A lot of businesses, the people who own them/ operate them don't live here year-round. It's more cost effective to just pack up. The negative is pack up. The positive is with them. The positive is we get some of their cli- ents stopping by our store.	But in the summer, it is really hard to get gro- ceries because the store runs out of food because of the influx of people coming in for the com- mercial fisheries and the sportfishing and visitors to Katmai so our food supply get dwindled pretty often.	The caribou herd was naturally decimated, and they changed the regula- tions for the local herd, as well as the northern herd. It's bad for local people - there is no cari- bou hunt.	The processing plants that's what makes the energy where we can afford it, because they're paying a really high pre- mium during the summer. On just this river there is a minimum of 8 to 10 lodges or camps out here.	So, there's not enough, say, king salmon for the users. So there are con- flicts allocation issues between commercial and sport, or subsistence and sport, fresidents] may live here but don't really want to be part of the community.	N/A
Naknek	The cost of fuel just filters down through everything. You know, the cost of groceries, gasoline to get to work, airfares to get to word. Just everything out here cornes back to fish.	One of the problems in rural Alaska is that young people want to grow up and move away - they don't want to come back.	That's the difference between making it or not- is, if you have sub- sistence foods, and if you don't have subsistence foods - you won't make it.	The cost of living, cost of fuels the cost of groceries, gasoline to get to work, air- fares to get around. The smaller villages have been killed by the increase in the airfares.	Right now the community is kind of divided about fishing and the Pebble Mine. It's a very caring commu- nity. I mean, when there's a crisis, people come together.	BBEDC has got a buy- back program. And, each year they lose ground. More permits are being sold than bought back in the Bay here.
South Naknek	There's no work here. So, if you can't make it - I mean, there's a few peo- ple that have year-round jobs like myself and the post master and a couple people. But for those that	It's an older group of people here, I mean obvi- ously there's hardly any kids. Families moved out. Younger families have moved out. More people are on food	Set-netting used to be huge. However, the south side set-net sites have become less productive. There are 12 permit hold- ers in town now. Four drift and 8 set net.	We can't attract people to move here if there is no school. A school closing is like the death of a town. When triver freezes up its When pring up a gate. You can get a latte in Naknek!	I hire family. I've had my nephew for the last 7 years. My brother fished with me this year. People want to live here. They move home, they're tied to the land, but they	The CDQ [Community Development Quota] groups do a good job providing benefits to peo- ple The Village Council (continued)

386 🍝 A. LAVOIE ET AL.

Table A1. Continued.

Community	Financial	Human	Natural	Physical	Social	Political
	don't have full-time year- round jobs, if they can't make it during fishing, then, you know, they're gonna probably be going on welfare.	stamps, and a lot of peo- ple are on other types of assistance now that jobs are gone.			can't find the economy to do it.	building closed - there is a BIA investiga- tion underway
Aleknagik	People try to hand per- mits down in families, trying to keep them in the community. We have access to Dillingham - a lot of our people work down there. If your fish- ing season doesn't work out, you have access to other jobs.	I think it is mainly due to the economy. You know, probably young families, people move out because of the lack of jobs. Our kids have to go to Mt. Edgecombe or Dillingham to go to school, because we don't have a high school.	Prices in the stores - peo- ple just can't afford it with the wages they make. So, subsistence is very important. There is plentiful water and fish and game. It's a lot easier for someone living under the poverty level to get by here.	Everybody is anxious for the bridge they're excited and anxious about it. Some peo- ple see potential employ- ment opportunities, other people obviously see how much easier their life will be, not having to cross the river.	Usually everybody fishes together, you know, the family. And that is one good thing about our community You know the guys usually fish and a lot of them hire their cousins, their relatives, and then a lot of elders are passing their permits	BBEDC has really benefit- ted the community. That working together - the three entities working together. And they're three strong entities - they've very consistent and strong.
Sand Point	The city doesn't have money when fisheries are bad. Out here, fishing makes the lock turn. There is no tourism - it's so remote. The market was good for salmon this year, so we had the best price we had since the cash buyers left us.	The size of the commu- nity doubles in the sum- mer with processors and salmon crew. Young people aren't stay- ing here - the school population is down. We've got a problem here. Drugs are trickling down to the kids in the community.	A good thing about Sand Point is the diverse fish- eries, and versatile fisher- men. Sand Point is a fishing community. Everything is based on fishing. There are more types of fish here - cod, Pollock, fish here - cod, Pollock, set and drift netting and set and drift netting and set almon. There are so many fisheries.	The cost of living out here is outrageous. Electricity costs \$0.657kHz. Most supplies are bought in Seattle and barged up.	to their clintaren. We share that isolation with each other Recently some local guys forced a drug dealer to get back on the plane and leave town. CDQ boats coming into the area, pushing out local guys.	Rationalization has made instant billionaires out of a lot of people, and it took jobs away from a lot of people. You have to be part pol- itician nowadays to be a fisherman. I don't care for that, but I still try to be somewhat active.